

# Third UK Open Government, National Action Plan 2016 - 2018 Welsh Government End of Term Self-Assessment Report

October 2018

## Introduction

The [third UK Open Government National Action Plan](#) was published in May 2016. The plan set out open government commitments for the UK for the next two years. In December 2016, commitments from each of the devolved administrations, including [Welsh Government](#) were published in an update to the UK plan. These commitments outlined Welsh Government's ambitions regarding working more openly and involving citizens in decisions that affect them.

As part of the two-year action plan cycle, governments are required to produce [mid-term](#) and end-of-term self-assessment reports. This end-of-term self-assessment builds upon the mid-term assessment and enables us to reflect upon the successes and challenges of the current commitments.

## Developing the Plan

The Office of the Chief Digital Officer was responsible for coordinating the collation of and the ongoing monitoring of Welsh Government commitments within the third UK National Action Plan. Officials liaised closely with colleagues from UK Cabinet Office and the other devolved administrations during the development of the commitments, sharing knowledge and best practice. They continue to liaise regularly to discuss implementation of current commitments and the development of future commitments.

When the plan commitments were being developed, there was no existing civil society network in Wales that specifically focused upon open government. However, a number of the commitments were developed with input from individual external organisations and from civil society. Following the publication of the commitments, Wales Council for Voluntary Action (WCVA) and Electoral Reform Society Cymru (ERS Cymru) were successful in securing funding to enable them to establish [Open Government Network Wales](#), a civil society network within Wales.

## **Independent Reporting Mechanism**

As well as the self-assessment process, the UK National Action Plan is also subject to independent scrutiny. In April 2018 the [independent mid-term assessment report](#) was published. The report provided a summary of progress, a critique of success and a series of recommendations. In the report only two of the UK commitments were identified as being specific, relevant, potentially transformative, and substantially or fully implemented. These featured or starred commitments were both Welsh Government commitments, and are as follows:

- Well-being of Future Generations Act – National Indicators for Wales
- Well-being duty on specified public bodies in Wales

As we moved into the second year of implementing the commitments we attempted to address some of the recommendations highlighted in the Mid-Term Independent Assessment. For instance, work continues on improving the presentation and accessibility of the National Indicators. Improvements to our statistical open data platform, StatsWales, also continues and we've been utilising tools to help improve the visualisation of the data. We're also attempting to learn from others with regards to their data portals and work is commencing on identifying how we help users find and access open data more easily.

## **Conclusion and the Next Steps**

Less than two years after they were first published, the majority of Welsh Government commitments have been completed. Further details about the progress made against each of the nine commitments are shown in Annexe 1.

There are some commitments that have been completed, which have milestones identified as 'ongoing' as these components will continue into the future. In some cases, such as re-assessment of the requirements for a catalogue of open datasets, we will attempt to take these forward by including them in the next set of Welsh Government commitments. As with the mid-term self - assessment, the end of term self - assessment will also be subject to independent scrutiny.

We fully recognise the need to build upon the work done to date by continuing to drive forward openness and transparency. As the current UK National Action Plan draws to an end, new commitments for the next plan are already being developed. In order to develop these new commitments, we are working with civil society, via the Open Government Network Wales. We hope to build on this approach going forward, whilst learning the lessons from the outcomes of the current action plan.

## Annexe 1

1. Open data plan		
<b>Commitment</b>	Develop and implement an open data plan for Welsh Government and work towards achieving the commitments outlined within the plan.	
<b>Lead department(s)</b>	Office of the Chief Digital Officer, Welsh Government	
<b>Others involved in implementation to date</b>	-	
<b>Timeline</b>	March 2016 – March 2018	
<b>Overall commitment status</b>	Ongoing	
<b>Overall progress against commitment</b>	The <a href="#">Welsh Government Open Data Plan</a> was published in March 2016. Good progress has been made on a number of the commitments set out in the plan. Whilst progress on the implementation of a couple of the commitments has been delayed, resource has now been obtained to review and take forward this work.	
Progress against milestones/activities		
<b>Milestone</b>	<b>Update</b>	<b>Status</b>
1. Implement commitments outlined within the Welsh Government Open Data Plan  March 2016 – March 2018	The majority of commitments within the Open Data Plan have been completed or in the case of open-ended commitments are ongoing.  Work is continuing on developing an Open Data Service for Wales through building upon and the expansion of the existing LLe infrastructure.  Resource has recently been acquired in order to review and to take forward the work around the development of a catalogue of open datasets and the acquisition of open data certification.	Ongoing

<b>2. Open data service</b>		
<b>Commitment</b>	Develop an Open Data Service for Wales with a focus on helping improve public services.	
<b>Lead department(s)</b>	Head of Geography and Technology, Welsh Government	
<b>Others involved in implementation to date</b>	<p>Welsh Government initiatives for publishing data for Wales, some of which may emanate from other government departments.</p> <p>Other Welsh Government departments who publish data for Wales.</p> <p>Users have been involved in the development of the StatsWales site, e.g. providing feedback and updates via various user forums. This has included our user group covering the third sector.</p>	
<b>Timeline</b>	March 2016 – March 2017	
<b>Overall commitment status</b>	Completed	
<b>Overall progress against commitment</b>	The majority of milestones associated with this commitment have been completed and work on developing an Open Data Service for Wales is continuing. Work on the milestone to develop a catalogue of open datasets is ongoing, with resource obtained to review and take forward the work as required.	
<b>Progress against milestones/activities</b>		
<b>Milestone</b>	<b>Update</b>	<b>Status</b>
1. StatsWales data published in machine readable format  June 2016 (ongoing)	All non-archived StatsWales data (90% of content) is now available in machine readable format	Completed
2. StatsWales training material on improvements prepared and delivered  Nov 2016 – Dec 2017	<a href="#">Guidance material</a> relating to OData has been produced and is now available on the StatsWales website. This includes a video demonstrating how to pull data into Excel from the OData API (Application Program Interface).	Completed

<p>3. Lle developed to allow users to build their own maps</p> <p>Jan 2016 – Jan 2017</p>	<p>A <a href="#">Beta service of Lle</a> is now available to all users and the service is being utilised to produce map profiles for Welsh Government departments. Work to improve the quantity and quality of the spatial data available to users to build their own maps has also been initiated.</p>	<p>Completed</p>
<p>4. Open data catalogue produced</p> <p>Oct 2016 – Dec 2016</p>	<p>Resource has recently been obtained to review and take forward the work around developing a catalogue of open datasets as outlined in the Welsh Government Open Data Plan. This work will involve identifying what users require and what a potential solution may look like.</p>	<p>Ongoing</p>

<b>3. StatsWales</b>		
<b>Commitment</b>	Develop StatsWales, the Welsh Government's online repository for detailed statistical data, to increase its openness rating to 4*.	
<b>Lead department(s)</b>	Head of Geography and Technology, Welsh Government	
<b>Others involved in implementation to date</b>	<p>Welsh Government initiative publishing data for Wales, some of which may emanate from other government departments.</p> <p>Users have been involved in the development of the StatsWales site, e.g. providing feedback, and being updated in various user forums. This has included our user group covering the third sector.</p>	
<b>Timeline</b>	March 2016 – December 2017	
<b>Overall commitment status</b>	Completed	
<b>Overall progress against commitment</b>	Improvements have been made to StatsWales and its guidance and as a result, the openness rating of StatsWales has increased. Work is continuing on investigating the possibility of automatic accreditation of datasets that are made available via StatsWales.	
<b>Progress against milestones/activities</b>		
<b>Milestone</b>	<b>Update</b>	<b>Status</b>
1. StatsWales data published in machine readable format  June 2016 (ongoing)	All non-archived StatsWales data (90% of content) now available in machine readable format.	Completed
2. StatsWales guidance and training videos prepared and published  Nov 2016 – Dec 2017	<p><a href="#">Guidance material</a> relating to OData has been produced and is now available on the StatsWales website. This includes a video demonstrating how to pull data into Excel from the OData API (Application Program Interface).</p> <p>Note: milestones 2 and 4 have been amalgamated</p>	Completed
3. Accreditation of	Work is continuing on investigating the possibility of automatic accreditation of	Ongoing

StatsWales carried out successfully Sept 2016 – March 2017	datasets made available via StatsWales	
4. StatsWales training material prepared and delivered Nov 2016 – Dec 2017	<a href="#">Guidance material</a> relating to OData has been produced and is now available on the StatsWales website. This includes a video demonstrating how to pull data into Excel from the OData API (Application Program Interface).  Note: milestones 2 and 4 have been amalgamated	Completed



4. Administrative Data Research Centre Wales		
<b>Commitment</b>	In partnership with the Administrative Data Research Centre Wales, the Welsh Government will work to ensure that access to government datasets is available in a secure and safe manner for the purposes of academic and public sector research. Furthermore, such access is promoted in order to maximise the use of this data for research that is published and made available to support better decisions.	
<b>Lead department(s)</b>	Chief Statistician, Welsh Government	
<b>Others involved in implementation to date</b>	UK Statistics Authority  Administrative Data Research Centre – Wales (academic partnership); ESRC; Administrative Data Research Network Board	
<b>Timeline</b>	Continuous	
<b>Overall commitment status</b>	Completed	
<b>Overall progress against commitment</b>	In partnership with <a href="#">Administrative Data Research Centre-Wales</a> (ADRC-W), further research has been published. Work is continuing to increase the number of public sector datasets available for Welsh researchers through the ADRC-W. This work includes a jointly-funded Welsh Government-Economic and Social Research Council pilot project to install 'National Research Data Appliances' within Local Authorities. The appliances will enable Local Authorities to supply data for research purposes directly and securely to the ADRC-W.	
Progress against milestones/activities		
Milestone	Update	Status
1. Publish further research in partnership with the Administrative Data Research Centre-Wales by the end of the financial year	The following projects within the Welsh Government Programme to Maximise the Use of Existing Data have published reports or are in the process of publishing reports:  <ul style="list-style-type: none"> <li>• <b>Supporting People Data Linking Project</b></li> </ul>	Completed / Ongoing

<p>March 2015 – 31/03/17</p>	<p><a href="#">Feasibility Study Report</a> – published March 2016  <a href="#">Year Two Progress Report and Emerging Findings</a> – published June 2018 &amp; October 2018 respectively</p> <ul style="list-style-type: none"> <li>• <b>Fuel Poverty Data Linking Project</b>  <a href="#">Report No.1: Initial Findings on the Impact on Health of the Warm Homes Nest Scheme</a> – published April 2017  The second and third substantive findings reports are on track to publish between October 2018 and February 2019.</li> </ul> <p>During 2019, a number of projects within the Programme are due to produce more publications of their research findings, including the ‘Flying Start Individual-level Data Collection Feasibility Study’.</p>	
<p>2. Increase the number of public sector datasets available for Welsh researchers through the ADRC-W by the end of the financial year</p> <p>March 2015 – 31/03/17</p>	<p>Welsh Government has been working on a number of fronts to increase the number of public sector datasets available for Welsh researchers through the ADRC-W; this has included:</p> <ul style="list-style-type: none"> <li>• Working with academic projects to assist and advise them with regard to gaining access to datasets.</li> <li>• Funding/jointly funding data linking research projects that drive the sharing of additional datasets e.g. data for the Welsh Government funded Warm Homes Nest home energy efficiency scheme.</li> <li>• Building a requirement to provide datasets to <a href="#">SAIL</a> (Secure Anonymised Information Linkage Databank)/the ADRC-W into grant Terms &amp; Conditions for national programmes e.g. Supporting People and Flying Start, for both of which data has begun to be made available.</li> <li>• Jointly funding, with the Economic and Social Research Council, the Dataflow Development Project (see Milestone 3 below), which will help support local authorities in developing routine, regular data flows into SAIL/the ADRC-W for use by researchers in Wales and the wider UK;</li> <li>• Continuing with established routine deposits of public data e.g. for the <i>National Pupil Database</i> and <i>National Survey for Wales</i>;</li> </ul>	<p>Completed / Ongoing</p>

	<ul style="list-style-type: none"> <li>Continuing to work with colleagues internal and external to develop data linking projects and support data acquisition e.g. we worked with and supported Cafcass Cymru and Swansea University to acquire funding for a <i>Family Justice Laboratory</i> that will deposit anonymised Cafcass data for England and Wales for linking.</li> </ul>	
<p>3. Pilot techniques for local authorities to supply data for research in the ADRC-W by the end of the financial year</p> <p>Sept 2016 - 31/03/17</p>	<p>A jointly funded Welsh Government-Economic and Social Research Council project is underway to pilot the installation of automated data linking technology in local authorities to support them to link datasets and supply data for research purposes to SAIL/ the ADRC-W.</p> <p>To date, automated data linking technology has been installed in Swansea Local Authority that has enabled the sharing of data with SAIL/the ADRC-W. Work is underway to analyse the data and to install the technology in a further four pathfinder Local Authorities.</p>	<p>Ongoing</p>

<b>5. Government Social Research Publication Protocol</b>		
<b>Commitment</b>	Welsh Government will continue to publish government research according to the Government Social Research Publication Protocol, with reports pre-announced and published on the 'Statistics & Research' pages of the Welsh Government website. Publication of social research reports, according to the GSR publication protocol, is a key part of the Welsh Government Principles for Research and Evaluation.	
<b>Lead department(s)</b>	Chief Social Research Officer, Welsh Government	
<b>Others involved in implementation to date</b>	Welsh Government	
<b>Timeline</b>	While the GSR publication protocol has been in use by Welsh Government since its introduction in 2010, there has been Ministerial commitment to the use of the protocol from March 2014.	
<b>Overall commitment status</b>	Completed	
<b>Overall progress against commitment</b>	Welsh Government continues to adhere to the GSR publication protocol and to pre-announce all research publications.	
<b>Progress against milestones/activities</b>		
<b>Milestone</b>	<b>Update</b>	<b>Status</b>
1. Using the GSR publication protocol for all research publications.  March 2010 (ongoing)	The Government Social Research Publication Protocol is in place and no breaches have occurred.	Completed
2. Ministerial commitment to use of Government Social Research publication protocol.  March 2014 (ongoing)	Ministerial commitment reconfirmed following 2016 election.	Completed

<b>6. Gov.Wales</b>		
<b>Commitment</b>	We will make our information and services easier to find and consume by consolidating our digital content on a new Welsh Government website that is focused on meeting user needs. The site will include an improved consultation service.	
<b>Lead department(s)</b>	Head of Corporate Digital Team, Communications Division	
<b>Others involved in implementation to date</b>	Welsh citizens are involved in the testing of the website.	
<b>Timeline</b>	1 April 2015 – 30 June 2019	
<b>Overall commitment status</b>	Completed	
<b>Overall progress against commitment</b>	The majority of milestones have been successfully completed, with work on the full migration of content to the new platform continuing as per the date outlined in milestone 5.	
<b>Progress against milestones/activities</b>		
<b>Milestone</b>	<b>Update</b>	<b>Status</b>
1. Launch beta consultations service, including response forms that users can save  01/07/16 – 30/09/16	The consultations platform was launched on time and is being well used. Feedback received from both internal and external users has been positive.	Completed
2. Launch beta campaigns platform  01/10/16 – 30/11/16	The campaigns platform is used to promote campaigns and has substantially reduced the cost and time required to generate content.	Completed
3. Launch beta public bodies platform	Several public bodies have been migrated to the new platform and additional functionality is now being scoped for future phases of development.	Completed

01/01/17 – 31/03/17		
4. Publish first tranche of beta corporate content  01/10/16 – 30/04/17	A limited first tranche of content has been delivered and continues to be refined.	Completed
5. Publish remaining corporate content  01/05/17 – 30/06/19	Work is continuing on moving the remaining corporate content to the new platform.	Ongoing

<b>7. Code of Practice for Ethical Employment in Supply Chains</b>		
<b>Commitment</b>	Welsh Government will develop a code for ethical supply chain behaviour that will ensure awareness and understanding of actions to mitigate against ethical supply chain issues.	
<b>Lead department(s)</b>	Special Projects Manager, Value Wales, ESNR	
<b>Others involved in implementation to date</b>	Public sector organisations in Wales Businesses and third sector organisations in Wales.	
<b>Timeline</b>	March 2016 – early in 2017	
<b>Overall commitment status</b>	Completed	
<b>Overall progress against commitment</b>	The Code of Practice for Ethical Employment in Supply Chains was launched in March 2017. As of October 2018, 136 organisations had signed up to the Code with additional organisations in the process of signing up.	
<b>Progress against milestones/activities</b>		
<b>Milestone</b>	<b>Update</b>	<b>Status</b>
1. First draft completed and introduced at Procurex 2016  March 2016 – Sept 2016	The draft Code was introduced at Procurex 2016 event.	Completed
2. Task and Finish Group established and first meeting set-up  Oct 2016 – Nov 2016	The introduction of the code at Procurex 2016 was a success and led to the publication of the <i>Code of Practice for Ethical Employment in Supply Chains</i> in March 2017.  The engagement with the Task and Finish Group continues – with on-going work to oversee the implementation of the Code.	Completed

<p>3. Engagement with business and third sector</p> <p>Oct 2016 – Early 2017</p>	<p>A continuing programme of work designed to raise awareness of the Code and to improve business sign-up via the Welsh Government's <i>Business Wales</i> service is underway.</p> <p>In October 2018, the first collaborative supplier-focussed Code of Practice event was held in Gwynedd.</p>	<p>Completed / Ongoing</p>
<p>4. Ethical supply chain code launch</p> <p>Early in 2017</p>	<p>The Code was launched on 9 March 2017 at the Workforce Partnership Council meeting.</p>	<p>Completed</p>
<p>5. Sign-up</p> <p>Ongoing</p>	<p>By October 2018, 136 organisations had signed up to the Code and many are working hard to put the Code's commitments into practice. These organisations include all Welsh Universities, the Police forces of Wales, 14 Local Authorities, 2 housing associations and a number of business and third sector organisations. Other organisations are in the process of enrolment and more are considering doing so.</p>	<p>Completed / Ongoing</p>



8. Well-being of Future Generations Act – National Indicators for Wales		
<b>Commitment</b>	To measure progress towards the achievement of the seven well-being goals for Wales set out in the Well-being of Future Generations (Wales) Act 2015, and report on them annually.	
<b>Lead department(s)</b>	Chief Statistician	
<b>Others involved in implementation to date</b>	Specified public bodies under the Well-being of Future Generations (Wales) Act 2015 Future Generations Commissioner for Wales Auditor General for Wales.	
<b>Timeline</b>	March 2016 – Summer 2017 <sup>1</sup>	
<b>Overall commitment status</b>	Completed	
<b>Overall progress against commitment</b>	This commitment was completed as planned. The National Indicators were laid before the National Assembly for Wales in March 2016 and the data underpinning the indicators published on <a href="#">StatsWales</a> open data services by September 2017. These open datasets were used to populate <a href="#">interactive webpages</a> for each indicator and were part of a wider package supporting the annual reporting against the goals as part of the Well-being of Wales report.	
Progress against milestones/activities		
Milestone	Update	Status
1. Lay the 'National Indicators for Wales' before the National Assembly for Wales.  April 2015 – March 2016	The Welsh Government laid before the National Assembly for Wales a set of 46 national indicators designed to measure progress at a Wales level in achieving the seven well-being goals for Wales. An accompanying technical document was published to provide further information on the final indicators.  Further information can be found here: <a href="https://gov.wales/topics/people-and-communities/people/future-generations-act/national-indicators/?lang=en">https://gov.wales/topics/people-and-communities/people/future-generations-act/national-indicators/?lang=en</a>	Completed

<sup>1</sup> End date revised from 'early 2017' to incorporate the time period for milestone 2.

<p>2. Produce the first Annual Well-being Report for Wales</p> <p>April 2016 – Summer 2017</p>	<p>The <a href="#">Well-being of Wales report</a> was published on September 25<sup>th</sup> 2017 as an interactive on-line report using open data sources. The <a href="#">data for the national indicators</a> were published on the StatsWales open data platform and presented visually using Power B.I dashboards. A second edition was published on September 20<sup>th</sup> 2018.</p> <p>Publication was moved to September to ensure further analysis of National Survey for Wales’s data could be undertaken.</p>	<p>Completed</p>

<b>9. Well-being duty on specified public bodies in Wales</b>	
<b>Commitment</b>	All public bodies, listed in the Well-being of Future Generations (Wales) Act 2015, have a duty to set and publish well-being objectives that outline how they will contribute to achieving each of the well-being goals and take reasonable steps to meet those objectives.
<b>Lead department(s)</b>	
<b>Others involved in implementation to date</b>	The 43 specified public bodies under the Act and Public Service Boards Future Generations Commissioner for Wales Auditor General for Wales
<b>Timeline</b>	April 2016 onwards
<b>Overall commitment status</b>	Completed
<b>Overall progress against commitment</b>	<p>The duty on specified public bodies to set and publish well-being objectives, and duty on Public Services Boards to assess local well-being is contained within the Well-being of Future Generations (Wales) Act 2015. These are the first duties that need to be discharged in order to provide the foundation for specified public bodies and Public Services Boards to deliver the promise of the Act. Milestones 2 and 3 were completed within the statutory timeframes set out in the Act.</p> <p>All public bodies subject to the Act have published their well-being objectives and associated well-being statement as required by the Act. <a href="#">Public Service Boards Local Well-being Plans</a> have been published and Public Bodies and Public Service Boards, subject to the Act, are currently taking steps to meet their objectives in accordance with the Sustainable Development Principle.</p> <p>The Future Generations Commissioner published '<a href="#">Well-being in Wales: the journey so far</a>' which outlines what the organisations have said they will do in this first year and provides advice on how they can best demonstrate they are taking effective steps to meet their objectives.</p> <p>The Auditor General for Wales has published his commentary as a parallel report, '<a href="#">Reflecting on Year One: How Have Public Bodies Responded to the Well-being of Future Generations (Wales) Act</a></p>

	<a href="#">2015?</a> on how the 44 public bodies are beginning to respond to their duties under the Well-being of Future Generations Act.	
Progress against milestones/activities		
Milestone	Update	Status
1. Legal duty comes into force  April 2016 – April 2016	The relevant section of the Well-being of Future Generations (Wales) Act 2015 were commenced on 1 April 2016. See <a href="http://www.legislation.gov.uk/wsi/2016/86/contents/made">http://www.legislation.gov.uk/wsi/2016/86/contents/made</a>	Completed
2. Public Bodies publish their first well-being objectives  April 2016 – April 2017	All public bodies subject to the well-being duty published their first well-being objectives. These can be found on the respective websites. For a list of public bodies subject to the well-being duty please see <a href="http://gov.wales/topics/people-and-communities/people/future-generations-act">http://gov.wales/topics/people-and-communities/people/future-generations-act</a>	Completed
3. Public Service Boards – Assessment of local well-being  April 2016 – May 2017	Public Service Boards have completed their assessment of local well-being which will form the basis on which the boards will determine the local objectives it sets. Further details can be found here <a href="http://gov.wales/topics/improvingservices/public-services-boards">http://gov.wales/topics/improvingservices/public-services-boards</a>	Completed